

## Federal Regulatory Groups for Long Term Care Facilities

\* Substandard quality of care = one or more deficiencies with s/s levels of F, H, I, J, K, or L in Red

### F540 Definitions

#### 483.10 Resident Rights

- F550 **\*Resident Rights/Exercise of Rights**
- F551 Rights Exercised by Representative
- F552 Right to be Informed/Make Treatment Decisions
- F553 Right to Participate in Planning Care
- F554 Resident Self-Admin Meds-Clinically Appropriate
- F555 Right to Choose/Be Informed of Attending Physician
- F557 Respect, Dignity/Right to have Personal Property
- F558 **\*Reasonable Accommodations of Needs/Preferences**
- F559 **\*Choose/Be Notified of Room/Roommate Change**
- F560 Right to Refuse Certain Transfers
- F561 **\*Self Determination**
- F562 Immediate Access to Resident
- F563 Right to Receive/Deny Visitors
- F564 Inform of Visitation Rights/Equal Visitation Privileges
- F565 **\*Resident/Family Group and Response**
- F566 Right to Perform Facility Services or Refuse
- F567 Protection/Management of Personal Funds
- F568 Accounting and Records of Personal Funds
- F569 Notice and Conveyance of Personal Funds
- F570 Surety Bond - Security of Personal Funds
- F571 Limitations on Charges to Personal Funds
- F572 Notice of Rights and Rules
- F573 Right to Access/Purchase Copies of Records
- F574 Required Notices and Contact Information
- F575 Required Postings
- F576 Right to Forms of Communication with Privacy
- F577 Right to Survey Results/Advocate Agency Info
- F578 Request/Refuse/Discontinue Treatment;Formulate Adv Di
- F579 Posting/Notice of Medicare/Medicaid on Admission
- F580 Notify of Changes (Injury/Decline/Room, Etc.)
- F582 Medicaid/Medicare Coverage/Liability Notice
- F583 Personal Privacy/Confidentiality of Records
- F584 **\*Safe/Clean/Comfortable/Homelike Environment**
- F585 Grievances
- F586 Resident Contact with External Entities

### 483.12 Freedom from Abuse, Neglect, and Exploitation

- F600 **\*Free from Abuse and Neglect**
- F602 **\*Free from Misappropriation/Exploitation**
- F603 **\*Free from Involuntary Seclusion**
- F604 **\*Right to be Free from Physical Restraints**
- F605 **\*Right to be Free from Chemical Restraints**
- F606 **\*Not Employ/Engage Staff with Adverse Actions**
- F607 **\*Develop/Implement Abuse/Neglect, etc. Policies**
- F608 **\*Reporting of Reasonable Suspicion of a Crime**
- F609 **\*Reporting of Alleged Violations**
- F610 **\*Investigate/Prevent/Correct Alleged Violation**

### 483.15 Admission, Transfer, and Discharge

- F620 Admissions Policy
- F621 Equal Practices Regardless of Payment Source
- F622 Transfer and Discharge Requirements
- F623 Notice Requirements Before Transfer/Discharge
- F624 Preparation for Safe/Orderly Transfer/Discharge
- F625 Notice of Bed Hold Policy Before/Upon Transfer
- F626 Permitting Residents to Return to Facility

### 483.20 Resident Assessments

- F635 Admission Physician Orders for Immediate Care
- F636 Comprehensive Assessments & Timing
- F637 Comprehensive Assmt After Significant Change
- F638 Quarterly Assessment At Least Every 3 Months
- F639 Maintain 15 Months of Resident Assessments
- F640 Encoding/Transmitting Resident Assessment
- F641 Accuracy of Assessments
- F642 Coordination/Certification of Assessment
- F644 Coordination of PASARR and Assessments
- F645 PASARR Screening for MD & ID
- F646 MD/ID Significant Change Notification

### 483.21 Comprehensive Resident Centered Care Plans

- F655 Baseline Care Plan
- F656 Develop/Implement Comprehensive Care Plan
- F657 Care Plan Timing and Revision
- F658 Services Provided Meet Professional Standards
- F659 Qualified Persons
- F660 Discharge Planning Process
- F661 Discharge Summary

### 483.24 Quality of Life

- F675 **\*Quality of Life**
- F676 **\*Activities of Daily Living (ADLs)/ Maintain Abilities**
- F677 **\*ADL Care Provided for Dependent Residents**
- F678 **\*Cardio-Pulmonary Resuscitation (CPR)**
- F679 **\*Activities Meet Interest/Needs of Each Resident**
- F680 **\*Qualifications of Activity Professional**

### 483.25 Quality of Care

- F684 **\*Quality of Care**
- F685 **\*Treatment/Devices to Maintain Hearing/Vision**
- F686 **\*Treatment/Svcs to Prevent/Heal Pressure Ulcers**
- F687 **\*Foot Care**
- F688 **\*Increase/Prevent Decrease in ROM/Mobility**
- F689 **\*Free of Accident Hazards/Supervision/Devices**
- F690 **\*Bowel/Bladder Incontinence, Catheter, UTI**
- F691 **\*Colostomy, Urostomy, or Ileostomy Care**
- F692 **\*Nutrition/Hydration Status Maintenance**
- F693 **\*Tube Feeding Management/Restore Eating Skills**
- F694 **\*Parenteral/IV Fluids**
- F695 **\*Respiratory/Tracheostomy care and Suctioning**
- F696 **\*Prostheses**
- F697 **\*Pain Management**
- F698 **\*Dialysis**
- F699 **\*{PHASE-3} Trauma Informed Care**
- F700 **\*Bedrails**

### 483.30 Physician Services

- F710 Resident's Care Supervised by a Physician
- F711 Physician Visits- Review Care/Notes/Order
- F712 Physician Visits-Frequency/Timeliness/Alternate NPPs
- F713 Physician for Emergency Care, Available 24 Hours
- F714 Physician Delegation of Tasks to NPP
- F715 Physician Delegation to Dietitian/Therapist

### 483.35 Nursing Services

- F725 Sufficient Nursing Staff
- F726 Competent Nursing Staff
- F727 RN 8 Hrs/7 days/Wk, Full Time DON
- F728 Facility Hiring and Use of Nurse
- F729 Nurse Aide Registry Verification, Retraining

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- F730 Nurse Aide Perform Review – 12Hr/Year In- service
- F731 Waiver-Licensed Nurses 24Hr/Day and RN Coverage
- F732 Posted Nurse Staffing Information

## 483.40 Behavioral Health Services

- F740 Behavioral Health Services
- F741 Sufficient/Competent Staff-Behav Health Needs
- F742 **\*Treatment/Svc for Mental/Psychosocial Concerns**
- F743 **\*No Pattern of Behavioral Difficulties Unless Unavoidable**
- F744 **\*Treatment /Service for Dementia**
- F745 **\*Provision of Medically Related Social Services**

## 483.45 Pharmacy Services

- F755 Pharmacy Svcs/Procedures/Pharmacist/Records
- F756 Drug Regimen Review, Report Irregular, Act On
- F757 **\*Drug Regimen is Free From Unnecessary Drugs**
- F758 **\*Free from Unnec Psychotropic Meds/PRN Use**
- F759 **\*Free of Medication Error Rates of 5% or More**
- F760 **\*Residents Are Free of Significant Med Errors**
- F761 Label/Store Drugs & Biologicals

## 483.50 Laboratory, Radiology, and Other Diagnostic Svcs

- F770 Laboratory Services
- F771 Blood Blank and Transfusion Services
- F772 Lab Services Not Provided On-Site
- F773 Lab Svcs Physician Order/Notify of Results
- F774 Assist with Transport Arrangements to Lab Svcs
- F775 Lab Reports in Record-LabName/Address
- F776 Radiology/Other Diagnostic Services
- F777 Radiology/Diag. Svcs Ordered/Notify Results
- F778 Assist with Transport Arrangements to Radiology
- F779 X-Ray/Diagnostic Report in Record-Sign/Dated

## 483.55 Dental Services

- F790 Routine/Emergency Dental Services in SNFs
- F791 Routine/Emergency Dental Services in NFs

## 483.60 Food and Nutrition Services

- F800 Provided Diet Meets Needs of Each Resident
- F801 Qualified Dietary Staff
- F802 Sufficient Dietary Support Personnel
- F803 Menus Meet Res Needs/Prep in Advance/Followed
- F804 Nutritive Value/Appear ,Palatable/Prefer Temp
- F805 Food in Form to Meet Individual Needs

- F806 Resident Allergies, Preferences and Substitutes
- F807 Drinks Avail to Meet Needs/Preferences/ Hydration
- F808 Therapeutic Diet Prescribed by Physician
- F809 Frequency of Meals/Snacks at Bedtime
- F810 Assistive Devices - Eating Equipment/Utensils
- F811 Feeding Asst -Training/Supervision/Resident
- F812 Food Procurement, Store/Prepare/Serve - Sanitary
- F813 Personal Food Policy
- F814 Dispose Garbage & Refuse Properly

## 483.65 Specialized Rehabilitative Services

- F825 Provide/Obtain Specialized Rehab Services
- F826 Rehab Services- Physician Order/Qualified Person

## 483.70 Administration

- F835 Administration
- F836 License/Comply w/Fed/State/Local Law/Prof Std
- F837 Governing Body
- F838 Facility Assessment
- F839 Staff Qualifications
- F840 Use of Outside Resources
- F841 Responsibilities of Medical Director
- F842 Resident Records - Identifiable Information
- F843 Transfer Agreement
- F844 Disclosure of Ownership Requirements
- F845 Facility closure-Administrator
- F846 Facility closure
- F849 Hospice Services
- F850 **\*Qualifications of Social Worker >120 Beds**
- F851 Payroll Based Journal

## 483.75 Quality Assurance and Performance Improvement

- F865 QAPI Program/Plan, Disclosure/Good Faith Attempt
- F866 {PHASE-3} QAPI/QAA Data Collection and Monitoring
- F867 QAPI/QAA Improvement Activities
- F868 QAA Committee

## 483.80 Infection Control

- F880 Infection Prevention & Control
- F881 Antibiotic Stewardship Program
- F882 {PHASE-3} Infection Preventionist Qualifications/Role
- F883 **\*Influenza and Pneumococcal Immunizations**

## 483.85 {PHASE-3} Compliance and Ethics Program

- F895 {PHASE-3} Compliance and Ethics Program

## 483.90 Physical Environment

- F906 Emergency Electrical Power System
- F907 Space and Equipment
- F908 Essential Equipment, Safe Operating Condition
- F909 Resident Bed
- F910 Resident Room
- F911 Bedroom Number of Residents
- F912 Bedrooms Measure at Least 80 Square Ft/Resident
- F913 Bedrooms Have Direct Access to Exit Corridor
- F914 Bedrooms Assure Full Visual Privacy
- F915 Resident Room Window
- F916 Resident Room Floor Above Grade
- F917 Resident Room Bed/Furniture/Closet
- F918 Bedrooms Equipped/Near Lavatory/Toilet
- F919 Resident Call System
- F920 Requirements for Dining and Activity Rooms
- F921 Safe/Functional/Sanitary/Comfortable Environment
- F922 Procedures to Ensure Water Availability
- F923 Ventilation
- F924 Corridors Have Firmly Secured Handrails
- F925 Maintains Effective Pest Control Program
- F926 Smoking Policies

## 483.95 Training Requirements

- F940 {PHASE-3} Training Requirements - General
- F941 {PHASE-3} Communication Training
- F942 {PHASE-3} Resident's Rights Training
- F943 Abuse, Neglect, and Exploitation Training
- F944 {PHASE-3} QAPI Training
- F945 {PHASE-3} Infection Control Training
- F946 {PHASE-3} Compliance and Ethics Training
- F947 Required In-Service Training for Nurse Aides
- F948 Training for Feeding Assistants
- F949 {PHASE-3} Behavioral Health Training